PTC/SB/08A(08-03)
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Substitute for form 1449A/PTO	Complete if Known	quired to respond to a collection of information crises it comments a valid CNAS control num
INFORMATION DISCLOSURE	Application Number	10/698,575
STATEMENT BY APPLICANT (Use as many sheets as necessary)	Filing Date	October 31, 2003
(Use as many sheets as necessary)	First Named Inventor	Vargas, Jaime
1	Group Art Unit	Unknown 373/
APR 2 9 2005	Examiner Name	Unknown Rumh
Sheet 1 of 1	Attorney Docket No: 1	146
Sheet 1 of 1		

		US PA	ATENT DOCUMENT	S
Examiner Initial :	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines,Where Relevant Passages or Relevant Figures Appear
W	US- 2002/0082614	06/27/2002	Logan, et al.	·
_	US- 2002/0082614	02/27/2004	Logan, , et al.	·
	US- 2003/0065342	04/03/2003	Nobis,	·
	US-4,018,228	04/19/1977	Goosen,	
	US-4,625,727	12/02/1986	Leiboff	
	US-4,628,550	08/01/2002	Vargas, et al.	
	US-5,199,983	06/09/1992	Green, et al.	
	US-5,221,259	06/22/1993	Weldon, et al.	·
	US-5,221,683	05/18/1993	Maginot	
	US-5,403,338	04/04/1995	Milo	
	US-5,470,320	11/28/1995	Tiefenbrun, et al.	
	US-5,871,495	02/16/1999	Mueller	
	US-5,910,153	06/08/1999	Mayenberger	
	US-5,931,842	08/03/1999	Goldsteen, et al.	
	US-5,972,014	10/26/1999	Nevins	
-	US-5,976,178	11/02/1999	Goldsteen, et al.	
	US-5,979,920	08/25/1998	Kim	
	US-5,989,278	11/23/1999	Mueller	
	US-6,254,651	06/07/1966	Collito	
٠.	US-6,302,905	10/16/2001	Goldsteen, et al.	
	US-6,309,416	10/30/2001	Swanson, et al.	
	US-6,391,036	05/21/2002	Berg, et al.	
	US-6402764B1	06/11/2002	Hendricksen	
	US-6,461,320	10/08/2002	Yencho, et al.	
	US-6,485,496	11/26/2002	Suyker, et al.	
	US-6,524,322	02/25/2003	Berreklouw	
/	US-6,605,098	08/12/2003	Nobis, et al.	
W	US-6,695,859	02/24/2004	Golden, et al.	

•	OTHE	R DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
-		"Cardica PAS-Port Proximal Anastomosis System 510(k)", Section VI.C., "Substantial Equivalence", and Attachment 7 (Unpublished)	
		Sales training brochure entitled "CorLink Automated Anastomosis Device" (2002)	
W			

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.	SERIAL NO.
APPLICANT: Vargas, Jaime et al.	
FILING DATE:	GROUP:
10/31/03	N/A

·		J.S. PATENT	DOCUMENTS			
EXAMINER INJTIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DA
4/	2,370,776	3/ <u>1/1945</u>	CARLSON			
1	3,254,650	6/7/1966	COLLITO			
	3,254,651	6/7/1966	COLLITO		\perp	
	3,519,187	7/7/1970	KAPITANOV, ET AL			
	3,774,615	11/27/1973	LIM, ET AL			
	4,118,806	10/10/1978	PORIER ET AL			
	4,214,587	7/29/1980	SAKURA, JR			
	4,217,664	8/19/1980	FASO		1/	
	4,350,160	9/21/1982	KOLESOV, ET AL		/	
	4,352,358	10/5/1982	ANGELCHIK			
	4,366,819	1/4/1983	KASTER		/	
	4,368,736	1/18/1983	KASTER	/		
	4,503,568	3/12/1985	MADRAS			
1	4,523,529	6/18/1985	DANIEL			
	4,534,761	8/13/1985	RAIBLE			
	4,553,542	11/19/1985	SCHENCK, ET AL			
	4,577,631	3/25/1986	KREAMER			<u>.</u>
	4,589,416	5/20/1986	GREEN			
	4,593,693	6/10/1986	SCHENCK			· ·
	4,603,693	8/5/1986	CONTA, ET AL.			ļ
	4,607,637	8/26/1986	BERGGREN, ET AL			
	4,624,255	11/25/1986	SCHENCK, ET AL			ļ.
	4,624,257	11/25/1986	BERGGREN, ET AL			
	4,657,019	4/14/1987	WALSH, ET AL			
	4,665,906		JERVIS			
1/	4,721,109	1/26/1988	HEALEY		-	

			FOREIGN PA	ATENT DOCUMENTS				
-EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	LATION NO
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FORM PTO-1449 ATTY, DOCKET NO. SERIAL NO. N/A 146 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S APPLICANT: INFORMATION DISCLOSURE STATEMENT Vargas, Jaime et al. FILING DATE: GROUP: 10/31/03 N/A (Use s v ral sh ts if nec ssary)

·		J.S. PATENT	DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DAT
1)/	4,747,407	5/31/1988	LIU, ET AL			
 	4,752,024	6/21/1988	GREEN, ET AL.			
	4,773,420	9/27/1988	GREEN			
	4,861,330	8/29/1989	VOSS			
	4,875,815	10/1/1989	PHILLIPS II		1/	
	4,883,453	11/28/1989	BERRY, ET AL.			
	4,892,098	1/9/1990	SAUER		1/	
•	4,907,591	3/13/1990	VASCONCELLOS, ET AL		1/	
	4,917,087	4/17/1990	WALSH, ET AL		1	
	4,917,090	4/17/1990	BERGGREN, ET AL		L	
	4,917,091	4/17/1990	BERGGREN, ET AL		/	
	4,930,674	6/5/1990	BARAK			<u> </u>
	5,005,749	4/9/1991	ARANYI			<u> </u>
	5,015,238	5/14/1991	SOLOMON ET AL			
	5,062,842	11/5/1991	TIFFANY			
	5,089,006	2/18/1992	STILES			
	5,100,423	3/1/1992	FEARNOT, NEAL E.	1.	<u> </u>	
	5,104,025	4/14/1992	MAIN, ET AL.			
	5,119,983	6/9/1992	GREEN, ET AL			
	5,129,913	7/14/1992	RUPPERT			
	5,156,613	10/20/1992	SAWYER			<u> </u>
	5,156,619	10/20/1992	EHRENFELD			
	5,171,262	12/15/1992	MACGREGOR			
	5,178,634	1/12/1993	RAMOS MARTINEZ			<u> </u>
	5,187,796	2/16/1993	WANG, ET AL.			
9/	5,192,289		JESSEN			

	 _		1	ATENT DOCUMENTS		SUB	TRANS	LATION
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.	SERIAL NO.
APPLICANT: Vargas, Jaime et al.	
FILING DATE:	GROUP:
10/31/03	N/A

		<u> </u>	J.S. PATENT	DOCUMENTS	<u></u>		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DAT
10/		5,193,731	3/16/1993	ARANYI			
		5,205,459	4/27/1993	BRINKERHOFF, ET AL.		1-/	
		5,211,683	5/18/1993	MAGINOT		 /	ļ
		5,217,474	6/8/1993	ZACCA, ET AL		1/	
		5,221,281	6/22/1993	KLICEK		₩	
		5,222,963	6/29/1993	BRINKERHOFF, ET AL.			
		5,234,447	8/10/1993	KASTER, ET AL		<u> </u>	ļ
		5,250,058	10/5/1993	MILLER, ET AL.		/	
		5,250,060	10/5/1993	CARBO, ET AL		<u> </u>	
		5,271,544	12/21/1993	FOX, ET AL.			<u> </u>
		5,275,322	1/4/1994	BRINKERHOFF, ET AL.	/		ļ. <u></u>
		5,285,945	2/15/1994	BRINKERHOFF, ET. AL.			ļ
		5,290,298	3/1/1994	REBUFFAT, ET AL.			<u> </u>
		5,292,053	3/8/1994	BILOTTI, ET AL.			ļ
		5,304,220	4/19/1994	MAGINOT			<u> </u>
	1	5,314,435	5/24/1994	GREEN, ET AL.			<u> </u>
		5,314,468	5/24/1994	MARTINEZ			ļ
		5,326,205	7/1/1994	ANSPACH, JR ET AL.			<u> </u>
		5,333,773	8/2/1994	MAIN, ET AL.			ļ
		5,336,233	8/9/1994	CHEN			ļ
	1.	5,350,104	9/27/1994	MAIN, ET AL.	$\perp \perp \perp$		ļ
		5,354,302	10/11/1994	KO	$\perp \perp \perp$		<u> </u>
	1	5,364,389		ANDERSON			
	1/	5,366,462		KASTER, ET AL			
	/	5,392,979		GREEN, ET AL.			<u>.</u>
h	 -	5,395,030		KURAMOTO, ET AL.		+	

		FOREIGN PA	ATENT DOCUMENTS				
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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APPLICANT: Vargas, Jaime et al.	
FILING DATE:	GROUP:
10/31/03	N/A

		ι	J.S. PATENT	DOCUMENTS			,
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DA
W		5,395,311	3/7/1995	ANDREWS			
1		5,401,131	3/1/1995	YOSHINO			<u> </u>
		5,443,497	8/22/1995	VENBRUX			
		5,447,514	9/5/1995	GERRY, ET AL.			
		5,454,825	10/3/1995	VAN LEEUWEN			
		5,456,712	10/10/1995	MAGINOT			
		5,456,714	10/10/1995	OWEN		1.	
		5,464,449	11/7/1995	RYAN, ET AL			
1		5,465,895	11/14/1995	KNODEL, ET AL.		1/	
		5,478,354	12/26/1995	TOVEY		11	
		5,503,635	4/2/1996	SAUER, ET AL.		1	
		5,515,478	5/7/1996	WANG		U	
		5,522,834	6/4/1996	FONGER, ET AL			
		5,524,180	6/4/1996	WANG, ET AL			
	•	5,533,661	7/9/1996	MAIN, ET AL.		<u> </u>	
		5,540,677	7/30/1996	SINOFSKY			
		5,553,198	9/3/1996	WANG, ET AL.			
		5,556,405	9/17/1996	LARY	/		
		5,558,667	9/24/1996	YARBOROUGH, ET AL	1:		
		5,571,167	11/5/1996	MAGINOT			· ·
		5,603,721	2/1/1997	LAU ET AL	/	ļ	
		5,643,340	7/1/1997	NUNOKAWA		<u> </u>	ļ <u>.</u>
		5,645,520		NAKAMURA, ET AL.		ļ	<u> </u>
		5,657,429		WANG, ET AL.	/	ļ	ļ
1		5,667,513		TORRIE, ET AL			
7/7		5,667,520	9/1/1997	BONUTTI, PETER M.			

			FOREIGN PA	ATENT DOCUMENTS				
EXAMINER INITIAL	•.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	NO NO
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.	SERIAL NO.
APPLICANT: Vargas, Jaime et al.	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DA
W	5,669,918	9/23/1997	BALAZS, ET AL			
1	5,669,934	9/23/1997	SAWYER			
7	5,676,670	10/14/1997	KIM			<u> </u>
	5,693,088	12/2/1997	LAZARUS		1 /_	
	5,695,504	12/9/1997	GIFFORD III, ET AL		\perp	
	5,702,412	12/30/1997	POPOV, ET AL		1	
	5,707,362	1/13/1998	YOON		$\perp \perp \perp$	ļ <u>.</u>
	5,707,380	1/13/1998	HINCHLIFFE, ET AL		<u> </u>	ļ
	5,709,335	1/20/1998	HECK		↓ /	<u> </u>
	5,709,693	1/20/1998	TAYLOR		<u> </u>	ļ
	5,725,544	3/10/1998	RYGAARD		<u> </u>	<u> </u>
	5,725,553	3/10/1998	MOENNING			ļ
	5,732,872	3/31/1998	BOLDUC ET AL		Д	
	5,754,741	5/19/1998	WANG, ET AL.			ļ <u> </u>
	5,755,778	5/1/1998	KLESHINSKI			ļ <u> </u>
	5,762,458	6/9/1998	WANG, ET AL.	_ _		_
	5,779,718	7/14/1998	GREEN, ET AL.			<u> </u>
	5,792,135	8/11/1998	MADHANI, ET AL.			1
	5,797,900	8/25/1998	MADHANI, ET AL.			ļ
	5,797,920	8/25/1998	BKIM			ļ
	5,799,661	9/1/1998	BOYD, ET AL.			ļ
	5,799,857	9/1/1998	ROBERTSON, ET AL.			
	5,807,377	9/15/1998	MADHANI, ET AL.			ļ
	5,814,073	9/29/1998	BONUTTI	/		<u> </u>
	5,815,640	9/29/1998	WANG, ET AL.			<u> </u>
- Y. /	5,817,113	10/6/1998	GIFFORD III, ET AL			

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
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APPLICANT: Vargas, Jaime et al.	
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		<u> </u>	J.S. PATENT	DOCUMENTS			····
EXAMINER INITIAL	•.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DAT
W		5,827,316	10/27/1998	YOUNG, ET AL.			
<u> </u>		5,833,698	11/10/1998	HINCHLIFFE, ET AL.			
	T	5,833,698	11/1/1998	HINCHLIFFE, ET AL.			
		5,841,950	11/24/1998	WANG, ET AL.			
		5,855,583	1/5/1999	WANG, ET AL.		/	·
		5,868,761	2/1/1999	NICHOLAS, ET AL.		<u> </u>	
		5,868,763	2/9/1999	SPENCE, ET AL.		<u>/</u>	
		5,875,782	3/2/1999	FERRARI, ET AL.		/	
		5,878,193	3/2/1999	WANG, ET AL.		<u> </u>	
•		5,879,371	3/9/1999	GARDINER, ET AL.			
		5,881,943	3/16/1999	HECK ET AL			
		5,893,369	4/1/1999	LEMOLE			
	1	5,904,697	5/18/1999	GIFFORD III, ET AL			
		5,907,664	5/25/1999	WANG, ET AL.			
		5,911,036	6/8/1999	WRIGHT, ET AL.			
		5,915,616	6/29/1999	VIOLA, ET AL.			
		5,921,995	7/13/1999	KLESHINSKI		<u> </u>	
		5,944,730	8/31/1999	NOBLES ET AL			<u> </u>
	1.	5,947,363	9/7/1999	BOLDUC ET AL			ļ
		5,957,363	9/28/1999	HECK			
		5,968,089	10/19/1999	KRAJICEK			
	<u> </u>	5,976,159	11/2/1999	BOLDUC ET AL			
	•	6,001,124	12/14/1999	BACHINSKI	/	1	ļ
		6,007,544	12/28/1999	KIM	17	<u> </u>	
	1	6,013,190	1/11/2000	BERG, ET AL.			
1//		6,015,416	1/18/2000	STEFANCHIK, ET AL.			

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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•	<u> </u>	J.S. PATENT	DOCUMENTS		Τ	т
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DA
11/	6,022,367	2/1/2000	SHERTS			
	6,024,748	2/15/2000	MANZO, ET AL.			
	6,030,370	2/29/2000	KUPKA, ET AL.			
	6,030,395	2/29/2000	NASH, ET AL.		 	
	6,036,699	3/14/2000	ANDREAS, ET AL.			
	6,036,700	3/14/2000	STEFANCHIK, ET AL.	<u> </u>	1_/	ļ
	6,036,702	3/14/2000	BACHINKSKI, ET AL.		1/	
	6,036,703	3/14/2000	EVANS, ET AL.	<u> </u>		
•	6,036,704	3/14/2000	YOON	ļ	↓ /	
	6,036,705	3/14/2000	NASH, ET AL.		<u> </u>	
	6,050,472	4/18/2000	SHIBATA		<u> </u>	ļ
	6,053,390	4/25/2000	GREEN, ET AL.			<u> </u>
	6,056,762	5/2/2000	NASH, ET AL.		/	
	6,066,144	5/23/2000	WOLF, ET AL.			
	6,066,148	5/23/2000	RYGAARD		<u> </u>	
	6,068,637	5/30/2000	POPOV, ET AL.			
	6,074,416	6/13/2000	BERG, ET AL.			ļ
	6,080,167	6/27/2000	LYELL			
	6,080,173	6/27/2000	WILLIAMSON, IV, ET AL.			ļ
	6,083,234	7/4/2000	NICHOLAS, ET AL.			<u> </u>
1	6,110,188		NARCISO, JR			<u> </u>
	6,113,612	9/5/2000	SWANSON, ET AL	\bot		ļ
	6,117,148		RAVO, ET AL.	_ _/		
	6,120,432	9/19/2000	SULLIVAN, ET AL.	$\perp \perp \perp$		
	6,132,439	10/1/2000	KONTOS, STAVROS			<u> </u>
10/	6,146,393	11/14/2000	WAKABAYASHI			

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10/31/03	N/A	

		1	DOCUMENTS	<u>-</u>		
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W	6,149,6		HOUSER, ET AL.			
	6,152,9	37 11/28/2000	PETERSON, ET AL			
	6,152,9	945 11/28/2000	BACHINSKI, ET AL.			
.	6,165,	185 12/26/2000	SHENNIB ET AL			
	6,167,8	389 1/2/2001	BENETTI			
	6,171,	319 1/9/2001	NOBLES, ET AL.			
	6,171,	321 1/9/2001	GIFFORD, III ET AL.			
	6,176,4	1/23/2001	HECK, ET AL.		1/	
	6,176,	364 1/23/2001	CHAPMAN		1/	
	6,186,	2/13/2001	SULLIVAN, ET AL.		<u> </u>	
	6,187,	2/13/2001	STEFANCHIK, ET AL.			
	6,187,	020 2/13/2001	ZEGDI, ET AL.		/	
	6,190,	396 2/20/2001	WHITIN, ET AL.	/		
	6,190,	397 2/20/2001	SPENCE, ET AL.	/		
	6,190,	590 2/20/2001	RANDALL, ET AL.			
	6,193,	129 2/27/2001	BITTNER, ET AL.			
	6,193,	734 2/27/2001	BOLDUC, ET AL.		<u> </u>	ļ
•	6,206,		GOLDSTEEN, ET AL.			ļ
	6,206,	913 3/27/2001	YENCHO, ET AL.			ļ
	6,235,		BERG, ET AL.		<u> </u>	<u> </u>
	6,371,		VARGAS, ET AL.		<u> </u>	ļ
	6,402,	764 6/1/2002	HENDRICKSON, ET AL.	/	 	<u> </u>
	6,428,	550 8/1/2002	VARGAS, ET AL.			<u> </u>
	2001/0047180A1	11/1/2001	GRUDEM ET AL.	_ /		<u> </u>
	2002/0058955A1	12/1/2001	BLATTER ET AL.			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
EXAMINER: / DATE CONSIDERED: 3/17/06
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO. 146	SERIAL NO. N/A
APPLICANT: Vargas, Jaime et al.	
FILING DATE:	GROUP:
10/31/03	N/A

			TENT DOCUMENTS		T	TRANSLATIO
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	YES/NO
111111111111111111111111111111111111111	2316910	4/2/77	FRANCE			
	19732234	1/28/99	GERMANY			
	29713336	11/27/97	GERMANY			
	0 517 252	12/1/92	EUROPE			
	0 701 800	3/20/96	EUROPE			
	0 820 724	1/3/00	EUROPE			···
	0 820 725	1/3/00	EUROPE			
1 1	0 885 595	12/23/98	EUROPE			
	0 913 125	7/5/00	EUROPE			
	0 938 870	9/1/99	EUROPE			
	0 990 420	12/27/00	EUROPE			
	00/09040	2/1/00	PCT			
	00/10486	3/2/00	PCT			
	00/12013	3/9/00	PCT		11	
•	00/15144	3/23/00	PCT		<u> </u>	
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	00/15147	3/23/00	PCT			
	00/15148	3/23/00	PCT		1	
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	00/27311	5/18/00	PCT		<u> </u>	
	00/27312	5/18/00	PCT		<u> </u>	
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	00/41633	7/20/00	PCT			
1/	00/53104	9/14/00	PCT			

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		00/69349	11/23/00	PCT			
	-	. 00/69364	11/23/00	PCT			
		00/72764	12/7/00	PCT			
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		01/12074	2/22/01	PCT	/		
		01/15607	3/8/01	PCT			·
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		01/19257	3/22/01				
		01/19259	3/22/01	PCT			
	·	01/19284	3/22/01	PCT			
		01/34037	5/17/01	PCT			
		92/08513	5/1/92	PCT			
		96/25886	8/29/96	PCT			
		97/25002	7/17/97	PCT			
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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INITIAL	97/31575	9/4/97		CEAGG	- 000 0000	120/110
	97/47261	12/18/97			1)	
 ,	98/07399	2/26/98			 	
	98/19608	5/14/98			 	
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	98/40036	9/17/98		- - -	<u> </u>	<u> </u>
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	99/17665	4/1/99			 	
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1/	99/40851	8/19/99	PCT			_
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	99/45848	9/16/99	PCT		/	
	99/52481	10/21/99	PCT		/	
	99/62406	12/9/99	PCT		1	
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